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TR 1645

PTO/SB/21 (02-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/412,297	
	Filing Date	October 5, 1999	
	First Named Inventor	Kang Ting	
	Art Unit	1645	
	Examiner Name	Vanessa L. Ford	
Total Number of Pages in This Submission	7	Attorney Docket Number	3937011

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input checked="" type="checkbox"/> Certificate of Mailing  <input checked="" type="checkbox"/> Postage Paid Return Postcard  <input checked="" type="checkbox"/> Deposit Account 07-1850 Authorization  <input checked="" type="checkbox"/> Express Mail Label No. EV 337978107 US  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Communication (1 page)  <input checked="" type="checkbox"/> Revocation of Power of Attorney With New Power of Attorney and Change of Correspondence Address (1 page)  <input type="checkbox"/> Notice of Change of Address  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  <b>Copies of Notice of Recordation of Assignment Document, Recordation Cover Sheet and Assignment (4 pages)</b>
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Squire, Sanders & Dempsey L.L.P. Zhaoyang Li, Ph.D., Reg. No. 46,872
Signature	
Date	June 6, 2005

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Rebecca M. Klits		
Signature		Date	June 6, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  Kang Ting	Examiner:  Vanessa L. Ford
Serial No.: 09/412,297	Art Unit: 1645
Filed: October 5, 1999	
Title: NELL-1 ENHANCED BONE MINERALIZATION	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**COMMUNICATION**

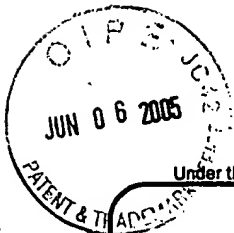
Enclosed is a Revocation of Power of Attorney With New Power of Attorney and Change of Correspondence Address for the above-identified patent application. Also enclosed is a copy of the recorded Assignment indicating the chain of title from the inventor of the above-identified patent application to The Regents of the University of California.

Respectfully submitted,

Zhaoyang Li, Ph.D.  
Attorney for Applicant  
Reg. No. 46,872

Date: June 6, 2005

Squire, Sanders & Dempsey L.L.P.  
One Maritime Plaza  
Suite 300  
San Francisco, CA 94111  
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**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	09/412,297
Filing Date	October 5, 1999
First Named Inventor	Kang Ting
Art Unit	1645
Examiner Name	Vanessa L. Ford
Attorney Docket Number	39370.11

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number: 44955

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

44955

OR

☐ Firm or  
Individual Name

Address

City

State

Zip

Country

Telephone

(310) 794-0558

Email

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature

Name

Lorelei de Larena, Esq.

Date

June 1, 2005

Telephone (310) 794-0558

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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APRIL 11, 2000

PTAS

MAJESTIC, PARSONS, SIEBERT & HSUE P.C.  
TOM HUNTER  
4 EMBARCADERO CENTER, SUITE 1100  
SAN FRANCISCO, CA 94111-4106



\*101259504A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/27/2000

REEL/FRAME: 010517/0930  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
TING, KANG

DOC DATE: 12/21/1999

ASSIGNEE:  
REGENTS OF THE UNIVERSITY OF  
CALIFORNIA, THE  
1111 FRANKLIN STREET, TWELFTH  
FLOOR  
OAKLAND, CALIFORNIA 94607-5200

SERIAL NUMBER: 09412297  
PATENT NUMBER:

FILING DATE: 10/05/1999  
ISSUE DATE:

MAURICE CARTER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

Box Assignments  
Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231



02-04-2000



101259504

**RECORDATION FORM COVER SHEET -- PATENT**

(Form PTO-1595)

1.27.00

Sir:

Please record the attached original documents or copy thereof:

1. The name of the conveying party is Kang Ting.
2. The name of the party receiving the interest is The Regents of the University of California, a corporation of the State of California, having a principal place of business at 1111 Franklin Street, Twelfth Floor, Oakland, California 94607-5200.
3. The nature of the attached document is an Assignment, which was executed on December 21, 1999.
4. In connection with:

The patent application being filed herewith, NELL-1 ENHANCED BONE MINERALIZATION, by inventor Kang Ting, with a Declaration attached thereto executed by said inventors on December 21, 1999.

<u>Patent Appln. No.</u>	<u>Filing Date</u>	<u>Inventors</u>
09/412,297	October 5, 1999	Kang Ting
<u>Patent No.</u>	<u>Date Issued</u>	<u>Inventors</u>

5. The name and address to whom all correspondence concerning this matter should be mailed is:

Tom Hunter (Reg. No. 38,498)  
MAJESTIC, PARSONS, SIEBERT & HSUE P.C.  
4 Embarcadero Center, Suite 1100  
San Francisco, California 94111-4106  
Telephone: (415) 248-5500  
Telefacsimile: (415) 362-5418

6. A total of 1 application is involved in this request.
7. The recordal fee of \$40.00 is included in the accompanying check in the amount of \$1804.
8. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 13-1030.

02/01/2000 TL0011 00000048 09412297

02 FC:581

( 40.00 OP )

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

MAJESTIC, PARSONS, SIEBERT & HSUE P.C.

Dated: January 21, 2000.

Atty. Docket: 3100.006US0



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Tom Hunter (Reg. No. 38,498)

The total number of pages including cover sheet, attachments, and document is 3.

## ASSIGNMENT

U.C. Case No. 1999-560-1

For good and valuable consideration, the receipt of which is hereby acknowledge, ASSIGNOR,

Kang Ting

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, California 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its/his/her rights, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

NELL-1 ENHANCED BONE MINERALIZATION

and which is found in

(a)        U.S. provisional application filed herewith and listing the above named person as inventor

(b)        U.S. patent application filed herewith and listing the above named person as inventor

(c)   X   U.S. application serial no. 09/412,297, filed on October 5, 1999

(d)        U.S. Patent No.                                 , issued                                 

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor

       day of 12/21, 1999

Kang Ting